

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>05034/LH</b>
INTERNATIONAL APPLICATION NO. <b>PCT/DE03/02248</b>	INTERNATIONAL FILING DATE <b>04 JULY 2003</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/521403</b> PRIORITY DATE CLAIMED <b>08 AUGUST 2002</b>
TITLE OF INVENTION <b>Axel BUNK &amp; Andreas NITKE</b>		
APPLICANT(S) FOR DO/EO/US <b>ELECTRIC INCANDESCENT LAMP</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information:		

4 sheets formal drawings (Figs. 1-4); International Search Report; 1 reference; Form 08A; PCT-Easy Version 2.92; form PCT/IB/301; PCT/IB/304; Change of Correspondence Address; Request for Publication of Assignment Information.

**Express Mail Label No. EV 602 255 631 US**

**Date of Deposit: January 18, 2005**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

*Barbara Villani*  
Barbara Villani

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/521403</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/DE03/02248</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">05034/LH</div>	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 <div style="text-align: right; font-weight: bold;">TOTAL OF ABOVE CALCULATIONS = \$1000.00</div>				<div>\$ 300.00</div> <div>\$ 200.00</div> <div>\$ 500.00</div> <div>\$ 1000.00</div>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	12 - 20 =	0	x \$50.00	\$	.00
Independent claims	1 - 3 =	0	x \$200.00	\$	.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	.00
TOTAL OF ABOVE CALCULATIONS =				\$	1.000.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	.00
SUBTOTAL =				\$	1.000.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	.00
TOTAL NATIONAL FEE =				\$	1.000.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40.00
TOTAL FEES ENCLOSED =				\$	1.040.00
				Amount to be refunded:	\$ .00
				Amount to be charged:	\$ .00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NO. 01933					
Leonard Holtz Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25 <sup>th</sup> Floor New York NY 10017-2023					
			LH:bv		
				SIGNATURE	
				Leonard Holtz	
				NAME	
				22,974	
				REGISTRATION NUMBER	

10/521403  
 112 Rec'd PCT/PTO 18 JAN 2005

PTO/SB/122 (10-00)  
 Approved for use through 10/31/2002. OMB 0651-0035  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>CHANGE OF CORRESPONDENCE ADDRESS</b> <i>Application</i>  <b>Address to:</b> <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA. 22313-1450</b>	Application Number	Not yet assigned
	Filing Date	Herewith
	First Named Inventor	BUNK
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	05034/LH

Please change the Correspondence Address for the above-identified application to:

☒ Customer Number [ 01933 ] →

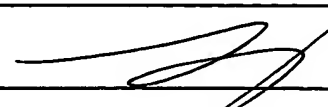
OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone				Fax	

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☐ Applicant/Inventor.
- ☐ Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. **Registration No. 22,974**
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name	Leonard Holtz, Reg. No. 22,974
Signature	
Date	January 18, 2005
Telephone:	(212) 319-4900

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. 05034/LH

Express Mail Mailing Label  
No.: EV 602 255 631 US

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Date of Deposit: January 18, 2005

Applicant(s): A. BUNK et al

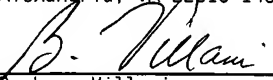
Serial No. : Based on  
PCT/DE03/02248

Filed : Herewith

For : ELECTRIC INCANDESCENT  
LAMP

Art Unit :  
Examiner :

I hereby certify that this paper is  
being deposited with the United States  
Postal Service "Express Mail Post Office  
to Addressee" service under 37 CFR 1.10  
on the date indicated above and is  
addressed to the Commissioner for  
Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450

  
Barbara Villani

In the event that this Paper is late  
filed, and the necessary petition for  
extension of time is not filed  
concurrently herewith, please consider  
this as a Petition for the requisite  
extension of time, and to the extent not  
tendered by credit card payment attached  
hereto, authorization to charge the  
extension fee, or any other fee required  
in connection with this Paper, to Account  
No. 06-1378.

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

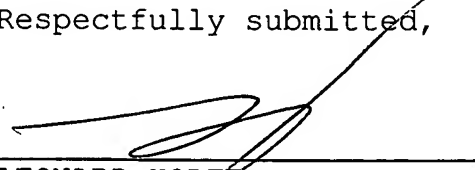
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be  
published as part of the 18 month publication of the present  
application:

**Patent-Treuhand-Gesellschaft  
fur Elektrische Gluhlampen mbH  
Hellabrunner Strasse 1,  
D-81543 Munich, Germany**

Respectfully submitted,

  
LEONARD HOLTZ  
Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, New York 10017-2023  
(212) 319-4900  
Fax No. (212) 319-5101  
LH/bv